## SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN (AUTONOMOUS) (Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC) Chromepet, Chennai — 600 044. BBA. END SEMESTER EXAMINATIONS NOVEMBER-2022 SEMESTER - V

20UBAET5001 - Capital Markets for Business Process Services

Total Duration : 2 Hrs 30 Mins.

Total Marks : 60

## Section A

Answer any **SIX** questions  $(6 \times 5 = 30 \text{ Marks})$ 

- 1. Explain the responsibilities of SEBI in Capital Markets.
- 2. Classify the functions of an Investment Banker.
- 3. Describe the role and impact of Private Equity Funds in India.
- 4. Who are the regulators of financial markets?
- 5. Describe securities lending, its advantages and disadvantages.
- 6. Define hedge funds. What makes hedge funds different?
- 7. Illustrate the importance of NAV Calculation.
- 8. Evaluate the role of Risk Management in Capital Markets.

## Section B

## Answer any **THREE** questions $(3 \times 10 = 30 \text{ Marks})$

- 9. 'A derivative is a contract that derives its value from the performance of an underlying entity.' Justify.
- 10. Illustrate the different stages of trade life cycle.
- 11. Explain the importance and role of mutual funds in capital markets.
- 12. 'Reconciliation is a critical process for determining and tracking the money trails of a company.' Relate.
- 13. 'One of the most effective ways to reduce counter party risk is to trade only with high-quality counterparties with high credit ratings such as AAA.' Conclude.

\*\*\*\*\*