

SHRIMATHI DEVKUNVAR NANALAL BHATT VAISHNAV COLLEGE FOR WOMEN  
(AUTONOMOUS)

(Affiliated to the University of Madras and Re-accredited with 'A+' Grade by NAAC)

Chromepet, Chennai — 600 044.

M.Com.(CS) - END SEMESTER EXAMINATIONS NOVEMBER - 2023

SEMESTER - IV

**21PMCCT4013 - Secretarial and Management Audit**

Total Duration : 2 Hrs. 30 Mins.

Total Marks : 60

### **Section B**

Answer any **SIX** questions ( $6 \times 5 = 30$  Marks)

1. Illustrate the advisory services rendered.
2. Explain the code of ethics.
3. Classify the different methods of appointment of secretarial audit.
4. Interpret the compliance certificate.
5. Describe the due intelligence report.
6. How SEBI protect the interest of investors?
7. Substitute the need and scope of securities audit.
8. Discriminate the nature of management audit.

### **Section C**

I - Answer any **TWO** questions ( $2 \times 10 = 20$  Marks)

9. Explain the various organization and areas of practice of company secretary .
10. Compute the specimen of compliance certificate.
11. Determine the requirements of various financial institutions and other corporate lender.
12. Evaluate the ensuring proper compliance of provisions relating to issue and transfer of securities.

II - Compulsory question ( $1 \times 10 = 10$  Marks)

13. Examine the organizational needs for management audit.

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